

## COLLOQUY

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1 (Jury enters courtroom; the following  
2 occurred:)

3 COURT OFFICER: You may be seated please.

4 THE COURT: Good morning, ladies and gentlemen.

5 JURORS: Good morning.

6 THE COURT: Mr. Shaub, call your next witness.

7 MR. SHAUB: Defense calls Doctor Mark Silberman  
8 to the stand, Your Honor.

9 (Witness approaches witness stand.)

10 COURT OFFICER: Raise your right hand, left hand  
11 on the Bible.

12 D O C T O R M A R K S I L B E R M A N, a witness  
13 called on behalf of the Defense, having first been duly  
14 sworn/affirm, took the stand and testified as follows:

15 THE CLERK: Please be seated. In a loud and  
16 clear voice, please state and spell your name for the  
17 record and give your office address.

18 THE WITNESS: Mark Silberman. That's Mark,  
19 M-A-R-K; S-I-L-B, like boy, E-R-M-A-N and my office address  
20 is Columbia University Medical Center 622 West 168th  
21 Street, New York, New York 10032.

22 THE COURT: Good morning, Doctor.

23 THE WITNESS: Good morning.

24 THE COURT: I would ask you to keep your voice  
25 up. The acoustics in the room is not very good.

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1 THE WITNESS: Okay.

2 THE COURT: You may inquire, counsel.

3 MR. SHAUB: Thank you, your Honor.

4 DIRECT EXAMINATION

5 BY MR. SHAUB:

6 Q. Doctor Silberman, good morning.

7 A. Good morning.

8 Q. Are you a physician duly licensed to practice medicine  
9 in the State of New York, sir?

10 A. Yes, I am.

11 Q. And can you please tell the jury, the ladies and  
12 gentlemen of the jury, a little bit about your background,  
13 training beginning with your undergraduate degree?

14 A. I'm from Illinois originally. I went to my  
15 undergraduate at Washington University in Saint Louis where I  
16 got a bachelor's degree in biological sciences. After that, I  
17 went back to Chicago, my hometown, and I went to Northwestern  
18 University for my MD degree and spent four years there. After  
19 graduating with my medical degree, I came east to Columbia  
20 University where I did an additional six years of postgraduate  
21 training. The first three years were a residency in internal  
22 medicine which is all the different adult diseases heart, lungs,  
23 kidneys, gastrointestinal, neurological and then I did an  
24 additional three years fellowship in pulmonary diseases of the  
25 lungs and critical care which is taking care of the sickest

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1 patient.

2 Q. What is a fellowship? What does it mean to do a  
3 fellowship in pulmonary and critical care medicine?

4 A. Well, after internal medicine, the first three years  
5 after medical school I did, I finished my MD then I did the  
6 three years of internal medicine. At that point, I could have  
7 been an attending physician taking care of patients but I went  
8 on to further specialize in diseases of the lung and taking care  
9 of critical care patients. The fellowship as well basically  
10 on-going training higher level of responsibility and a  
11 specialized focus on those areas of medicine.

12 Q. After having completed your residency in internal  
13 medicine and having completed your fellowship in pulmonary  
14 critical care medicine, what did you next do professionally  
15 after that?

16 A. Well, I continued to work at Columbia University  
17 Medical Center as an attending physician and my specialized  
18 focus has been to work in the emergency department and in the  
19 ICU primarily as well as on the floor in the hospital but my  
20 primary focus is taking care of the critically ill patients who  
21 presented to the emergency department with serious illnesses and  
22 also teaching and seeing patients and caring for patients in the  
23 intensive care unit at Columbia. And in addition, helping with  
24 the transition of patients from the emergency department into  
25 the hospital to make sure that they get the care they need when

1 they're going from the care of the ER doctors to the care after  
2 the inpatient doctors.

3 Q. Now, for how long a period of time have you been  
4 practicing -- withdrawn.

5 You're still at Columbia?

6 A. I'm still at Columbia.

7 Q. And still doing the kind of things you just described?

8 A. Yes.

9 Q. For how long a period of time have you been doing that  
10 kind of work at Columbia?

11 A. Well, I graduated medical school in 1984 from the six  
12 years of training from '84 to 1990. So from 1990 until today,  
13 which is 22 years, I've been practicing as an attending  
14 physician focusing on taking care of patients myself as well  
15 taking care of patients, supervising, training medical students,  
16 residents fellows who are training to become doctors or who are  
17 already doctors but are training further in those specialized  
18 areas.

19 THE COURT: Doctor, if you could, just slow down  
20 a little bit because the reporter is taking down everything  
21 you are saying.

22 THE WITNESS: I tend to speak fast. I'm sorry.

23 THE COURT: I know they have to keep up with you.

24 THE WITNESS: My apologies.

25 Q. That must come from the years in the ER that people

1 speak fast?

2 A. Right.

3 Q. Doctor, are you board certified?

4 A. Yes, I have.

5 Q. What does it mean to be board certified?

6 A. Board certification means you've taken specialized  
7 training in an area of medicine and then you have to meet the  
8 criteria of the Board that supervises which involves taking  
9 examinations, some of them are oral, some of them are written  
10 examinations as well as maintaining continuing education within  
11 those fields.

12 Q. Are these national examinations?

13 A. Yes, these are the national examinations.

14 Q. Okay. Now, are you board certified in any fields?

15 A. Yes, I am.

16 Q. Could you tell us what fields you are board certified  
17 in?

18 A. I have four board certifications. The first is  
19 internal medicine, then I also have the board certification in  
20 critical care, I have a board certification in emergency  
21 medicine, and I have board certification in pulmonary disease.

22 Q. Okay. Now, Doctor, you said that you have teaching  
23 responsibilities. Where do you teach?

24 A. I teach at the Columbia University Medical Center,  
25 some teaching in a lecture setting in a classroom talking about

1 shock or pneumonia or different things like that but also most  
2 of my teaching as well is really at the bedside, as we say. So  
3 in the intensive care unit, I have just finished my ICU rotation  
4 in the medical intensive care unit. I will round with a team of  
5 doctors and we'll go from bedside to bedside discussing, you  
6 know, this patient is on the ventilator, this patient's oxygen  
7 level, this patient's blood pressure, discussing all the  
8 different treatments and medications in how the patient is doing  
9 and we'll go all the way around at ICU and I'll discuss with the  
10 fellows in critical care medicine what their discussions had  
11 been, discussions with the residents, help them to learn how to  
12 best manage the patient, to try to diagnose their illnesses and  
13 help them recover.

14 Q. Do you hold any formal academic positions any place?

15 A. Yes. I'm assistant professor of clinical medicine at  
16 Columbia University.

17 Q. Now, Doctor, do you belong to any professional  
18 societies?

19 A. Yes, I do.

20 Q. Just tell us about a few?

21 A. Well, there's the American College of Chest Physicians  
22 which is for diseases of the lung and for critically ill  
23 patients, an association of doctors. There's the society for  
24 Academic Emergency Medicine which is really for teaching and  
25 progressive care in the emergency department and for research

1 related to that. There are many organizations.

2 Q. Are you also a member of the American College of  
3 Emergency Physicians?

4 A. Yes.

5 Q. Now, Doctor, last point. Have you done any volunteer  
6 work in the medical field?

7 A. Yes, I do a lot of volunteer work both on an  
8 international level and on a local level here in New York.  
9 Internationally, I've had the opportunity to work at the charity  
10 hospital in Mongolia out in Asia. We have projects in India.  
11 We set up the trainer's program, a group of my colleagues at  
12 Columbia, in India where we help them begin an EMT training  
13 program for ambulance personnel. We also train the people who  
14 teach the EMT course and we help them set up this 1288 which is  
15 the equivalent of a 911 system, the first organized ambulance  
16 system in the country of India several years ago and that's  
17 spreading and growing and building right now.

18 There's also a project -- I just got back from -- the  
19 Dominican Republic. We have a project where we do teaching in  
20 Santiago in the medical school, teach trauma and critical care  
21 and cardiac life support as well as do some injury prevention  
22 projects in the Dominican Republic. If you've been, it's a  
23 beautiful warm country with beaches and sun and you'll see a  
24 motorcycle with three, four or even five people with mom and dad  
25 and the child in between holding a baby. It's beautiful weather

1 but what they have it's really terrible. We are trying to  
2 promote the use of helmets there which is an uphill battle. We  
3 had some success with our injury prevention program. That's  
4 internationally then locally I'm a volunteer medical director  
5 for -- I live just here in Westchester County -- I'm the  
6 volunteer medical director for four local community ambulance  
7 corps where the community members work as EMT's and provide  
8 ambulance service to the local community. And in addition to  
9 that, I do some work with AIDS patients. Every January here in  
10 New York City I volunteer my time.

11 Q. Doctor, as of the present time, can you explain to us  
12 what is your experience in treating patients with pneumonia?

13 A. Well, pneumonia is one of the most common infections  
14 we face. The people come with a cough and fever. It's an  
15 everyday occurrence in the emergency department. Sometimes they  
16 have bronchitis, sometimes they have mild pneumonia, sometimes  
17 they have severe pneumonia, something we see pretty common in  
18 the emergency department.

19 Q. Is this something you actually treated during the  
20 course of your career?

21 A. Yes, all the time.

22 Q. Well, is it something that you taught other physicians  
23 how to treat?

24 A. Yes.

25 Q. all right. Doctor, before we get to the details of

1 the case, have you testified in court before?

2 A. Yes, I have.

3 Q. Roughly, when was the first time you testified in  
4 court?

5 A. Maybe about 10 or 12 years.

6 Q. And over those last 10 or 12 years, how often have you  
7 come to court to testify approximately?

8 A. There was a rape case in New York that a woman was my  
9 patient just about two years ago.

10 MR. ARGINTAR: Objection.

11 THE COURT: Sustained.

12 Q. Okay.

13 A. I was --

14 THE COURT: Sustained, sustained.

15 THE WITNESS: I'm sorry.

16 THE COURT: When I say sustained, don't speak.

17 THE WITNESS: Okay.

18 Q. How many times over the course of the last 10 or 12  
19 years have you testified?

20 A. An average of maybe twice a year.

21 Q. Okay. And have you testified at the request of my  
22 office when we're representing a doctor or a hospital in the  
23 past?

24 A. Yes, I have.

25 Q. Okay. Have you testified in a case where in another

1 cases where I asked you actually to come to court in testify?

2 A. Yes. I testified once before with you.

3 Q. Have you testified on behalf of my partners in the  
4 course of your professional career?

5 A. Yes, I have.

6 Q. Now, when you've testified in court, have you also  
7 testified -- I don't want any details of it -- but testified on  
8 behalf of your patients from time to time?

9 A. Yes, I have.

10 Q. Okay. Now, in addition to testifying, have you been  
11 asked to review cases involving claims of medical malpractice?

12 A. Yes.

13 Q. Over what period of time?

14 A. Again, about more than ten years.

15 Q. Okay. Over the course of those ten years with what  
16 frequency -- how often were you actually called upon to take a  
17 look at cases?

18 A. It varies tremendously. Sometimes I'm not reviewing  
19 any cases and then other times I'll get several requests to  
20 review cases.

21 Q. You say several. That's on a monthly basis or  
22 something like that?

23 A. Yes.

24 Q. And in the course of reviewing cases, have you  
25 reviewed cases not only on behalf of doctors or hospitals that

1 are being sued but on behalf of patients from time to time?

2 A. Yes.

3 Q. Okay. And, Doctor, when you're doing this kind of  
4 legal/medical work, I assume you're compensated for your  
5 services, your professional time?

6 A. Right.

7 Q. Now, Doctor, did there come a time when my office  
8 asked you to review certain materials in this case?

9 A. Yes, sir.

10 Q. Do you remember -- withdrawn.

11 Do you recall approximately when you were first  
12 contacted?

13 A. I first received the chart in May 2010.

14 Q. When you first reviewed the materials, you got the  
15 chart and the autopsy report and some legal papers, right?

16 A. Correct.

17 Q. After that, did there come a time that you received  
18 additional materials?

19 A. Yes. In 2011, I received the pretrial testimony of  
20 Mrs. Rivera and also the doctors who are involved in the case.

21 Q. And did there come a time after -- withdrawn.

22 At the time that you received those depositions, would  
23 it be fair to say you had not yet gotten the deposition of  
24 Doctor Mukherji at that point because it hadn't been done yet?

25 A. Correct.

1 Q. Now, approximately how much did you spend in the  
2 review in May and the review in 2010 and the review in 2011?

3 A. I checked my records and in 2010 I spent three and a  
4 half hours reviewing the chart and meet with the attorneys and  
5 talk about the medical aspects of the case.

6 Q. That wasn't with me, was it?

7 A. No. That was one of your associates.

8 Q. Okay.

9 A. And then in 2011 I spent four hours reviewing the  
10 pretrial transcripts and also meeting with another associate of  
11 yours.

12 Q. Okay. And for that time, you were compensated for  
13 your time?

14 A. Yes.

15 Q. And what was the rate of compensation?

16 A. \$440 per hour.

17 Q. Since that time, did you and I meet?

18 A. Yes.

19 Q. When was the first time we met to discuss this case  
20 approximately?

21 A. About a month ago. I don't remember the exact date.

22 Q. Okay. And since that time, have you received and  
23 reviewed additional materials?

24 A. Yes, I have.

25 Q. And what else have you reviewed up to the present

1 time?

2 A. Well, I got electronic transcripts of the trial  
3 testimony so I've been reviewing that on a regular basis over  
4 the last week or so.

5 Q. And did there come a time when you and I met?

6 A. Yes.

7 Q. Before today?

8 A. Yes.

9 Q. And when was that?

10 A. Yesterday.

11 Q. Okay. And, Doctor, approximately how many hours did  
12 you devote to reviewing the trial testimony over the last --  
13 that we generated over the last couple of weeks?

14 A. Approximately five hours.

15 Q. All right. And are you being compensated for your  
16 time here in court setting aside your regular schedule to be  
17 available?

18 A. Yes. I had to clear my schedule to be here today.  
19 I'm charging for the day.

20 Q. What is your rate of compensation?

21 A. Same rate but I charge for ten hours to clear the  
22 entire day to be here, available as long as you need me.

23 Q. Doctor, I want to ask you the following. I want you  
24 to assume the following information before you answer the next  
25 question, okay?

1 A. Yes.

2 Q. All right. I want you to assume that Mr. Rodriguez  
3 back in January of 24, 2009 was 44 years of age and he presented  
4 to the emergency room at Montefiore Medical Center at  
5 approximately 11:45 in the morning and he was complaining of  
6 shortness of breath at that time and at the time he was seen by  
7 the triage nurse, the first nurse in the hospital. His vital  
8 signs revealed a pulse or a heart rate of 83, a blood pressure  
9 of 108 over 73, a respiration rate of 22, a temperature of 97,  
10 and his pulse oximetry on room air was 79 percent.

11 I want you to assume further within 15 minutes he was  
12 back in the treatment area of the emergency room and on room  
13 air, his pulse oximetry was 86 percent and I want you to assume  
14 further that he was given supplemental oxygen through nasal  
15 cannula, little tubes in his nose and that improved his pulse  
16 oximetry to 96 percent.

17 I want you to assume further that in the emergency  
18 room he was evaluated by Doctor Mukherji, a board certified  
19 emergency room physician, along with a resident and on his  
20 examination he found there were rales or abnormal breath sounds  
21 on both sides of his lungs, that the x-ray showed diffused  
22 pacification and his impression was that Mr. Rodriguez was  
23 likely suffering from pneumonia. I want you to assume all of  
24 that. Okay, Doctor?

25 A. Yes.

1 Q. I want you to further assume that Doctor Mukherji  
2 ordered and had the patient started on antibiotics of  
3 ceftriaxone. Did I say that right?

4 A. Yes, you did.

5 Q. Okay. And he also was receiving supplemental oxygen  
6 and IV hydration.

7 I want you to assume further that Doctor Mukherji, as  
8 part of his plan, wanted Mr. Rodriguez or directed that  
9 Mr. Rodriguez be admitted to the hospital and I want you to  
10 assume further that Doctor Mukherji testified in his judgment he  
11 did not order the patient admitted to the ICU or a monitored  
12 unit. That was the trial testimony. That's what the records  
13 reflect.

14 Now, Doctor, you saw all of this between the records  
15 and the testimony, correct?

16 A. Yes.

17 (Continued on the next page ...)

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1 Q. Doctor, do you have an opinion within a reasonable  
2 degree of medical certainty, whether the judgment of  
3 Dr. Mukherji with regard to his decision as to where  
4 Mr. Rodriguez should be admitted to the hospital, was a  
5 reasonable exercise of judgment in accordance with accepted  
6 medical practices as they existed in January of 2009?

7 A. I do.

8 Q. What's your opinion?

9 A. That was a reasonable appropriate medical decision.

10 Q. Why?

11 A. Well, basically, what we know is that Mr. Rodriguez had  
12 pneumonia. He had crackles in his lungs. And his oxygen level  
13 was low. It was a serious pneumonia.

14 The doctors prescribed antibiotics to begin treatment  
15 for the pneumonia. And then they had to see what was happening  
16 with his oxygen level.

17 The first oxygen level in triage was very concerning  
18 because it was quite low. Dangerously low. They gave him the  
19 nasal prongs to put in his nasal. Then they rechecked the  
20 oxygen level.

21 Basically, patients with pneumonia who have low oxygen  
22 level, may need three different types of support. They may need  
23 low flow oxygen, but that might not help. If that doesn't help,  
24 they need high flow oxygen. If that doesn't help, then they  
25 need a ventilator.

1           In this case, Mr. Rodriguez was given the low flow  
2 nasal oxygen. And his oxygen level improved. Anything above 90  
3 percent oxygenation means that the red blood cells in the body  
4 are picking up enough oxygen to deliver good oxygen to the  
5 brain, good oxygen to the heart, good oxygen to all the vital  
6 organs.

7           Q. I thought, Doctor, we heard testimony that normal O-2  
8 saturation is 95, 98, 100 percent?

9           A. That's correct. Normal saturation for probably  
10 everyone sitting here, if we don't have lung disease, our oxygen  
11 saturation, if we went around and put a pulse oximetry on  
12 everyone in the room, would be 95 to 100. 98 is average for  
13 someone sitting here. 98, 99 normal. And normal people is  
14 anywhere from 95 to 100.

15           The way the red blood cells are designed, the body has  
16 a margin of safety. Whenever anyone is sick, if the oxygen  
17 saturation is between 90 and 100, we as doctors are happy. We  
18 know anything above 90 percent saturation means there's plenty  
19 of oxygen on every one of the red blood cell to deliver good  
20 oxygen to the body. 95 to 100 is normal. But 90 to 100 is safe  
21 for a patient who has a lung problem.

22           So given the low flow oxygen, the saturation greater  
23 than 90 percent, we're in the safety zone. He did not need 100  
24 percent non-rebreather mask. He did not need to have a tube put  
25 in. Low flow oxygen with his pneumonia corrected his oxygen

1 level.

2 Based upon that fact, he is a patient who will need to  
3 be in the hospital. That saturation of 79 percent was very  
4 concerning in triage. But good news was low flow oxygen, he's  
5 better. At one point, the nasal oxygen actually has varying  
6 percentages of oxygen. If you're breathing more through your  
7 mouth than your nose. If you're breathing faster or slower, the  
8 percentage of oxygen is going to vary. Sometimes we do low flow  
9 oxygen by mask, which is the other thing they did for him a  
10 little later on. 40 percent venting mask. But then that's not  
11 100 percent oxygen. That's not a high flow oxygen saturation.  
12 That's low flow oxygen, and the saturation was in the safety  
13 zone between 90 to 100 percent.

14 So therefore, given that he responded to low flow  
15 oxygen, that's a patient that does not require intubation. Does  
16 not require admission to the ICU.

17 The second that we watch for, making sure the patients  
18 blood pressure is not indicating shock. If the blood pressure  
19 is dangerously low, then we have to give special medications in  
20 the ICU to bring the pressure up.

21 In the absence of hypoxia, not responsive to low flow  
22 oxygen, in the absence of any signs of shock, Mr. Rodriguez did  
23 need to be in the hospital. Did need to get oxygen. Did need  
24 to get antibiotics. And did need to be followed by the doctors  
25 and the nurses. He did not meet criteria for admission to a

1 monitored unit.

2 Q. I want you to assume that Dr. Sixsmith testified that  
3 Dr. Mukherji departed from good and accepted practice in not  
4 admitting Mr. Rodriguez to a monitored unit or more specifically  
5 she said into an intensive care unit.

6 Do you have an opinion, within a reasonable degree of  
7 medical certainty, whether you agree or disagree with  
8 Dr. Sixsmith?

9 A. I disagree.

10 Q. Why?

11 A. Well, you know, basically, I just explained why he was  
12 appropriate for admission to the hospital. And for admission to  
13 the floor.

14 Medicine is something we practice looking forward. We  
15 can never look backwards. Based on the oxygen level, based on  
16 almost 12 hours of monitoring in the emergency department,  
17 Mr. Rodriguez was doing well. His blood pressure, his pulse,  
18 his oxygen level, his degree of comfort, his ability to breathe  
19 without being labored or leaning forward or huffing and puffing,  
20 he was doing well over that period of monitoring in the  
21 emergency department.

22 Looking forward, yes, he has a bad pneumonia. Yes, he  
23 needs to be in the hospital. But there's no indication that he  
24 should be in a high level critical care type setting.

25 So if you can come in like Dr. Sixsmith did and say,

1 well, he died during the night. Obviously, you did something  
2 wrong.

3 MR. ARGINTAR: Objection.

4 THE COURT: Sustained.

5 Q. Let me ask you this, Doctor: Do patients with  
6 pneumonia like the kind of problem that Mr. Rodriguez suffered,  
7 with a clinical presentation with vital signs with response to  
8 O-2 -- with response to oxygen supplementation, are those the  
9 patients that are routinely treated in the medical floor in the  
10 greater Metropolitan area?

11 MR. ARGINTAR: Objection to form.

12 THE COURT: Sustained.

13 Q. Is there a particular area in the hospital where  
14 patients with pneumonia should be treated?

15 A. The vast majority of the patients with pneumonia are  
16 admitted to the medical floor. Only patients with pneumonia who  
17 require mechanical ventilator with the tube put in or show  
18 instability of their vital signs, signs of shock, those are the  
19 patients that clearly need to be in the intensive care unit for  
20 pneumonia.

21 Q. Doctor, I want you to assume that Dr. Mukherji called  
22 in a critical care consultant for evaluation of Mr. Rodriguez.

23 I want you to assume that the person who responded was  
24 Dr. Leung, who was a critical care fellow in her first year of  
25 her fellowship, having completed her residency in internal

1 medicine.

2 I want you to assume that in her evaluation of  
3 Mr. Rodriguez, she obtained a history that he had shortness of  
4 breath. She obtained a history that the pulse oximetry on room  
5 air, he had an oxygen saturation of 86 percent, but on  
6 administration of oxygen, the pulse ox was 96 percent.

7 I want you to assume further on evaluation, Dr. Leung  
8 noted that Mr. Rodriguez' pulse was 80. His respiratory rate  
9 was 22. His oxygenation was 96 percent.

10 I want you to assume further that Dr. Leung, as noted  
11 in the chart, recorded that Mr. Rodriguez was able to lie flat.  
12 Was able to speak in full sentences. Was not using any  
13 accessory muscles to breathe. And based on her evaluation, she  
14 reached an impression he was suffering from pneumonia. She  
15 added additional antibiotics, moxifloxacin?

16 A. Moxifloxacin.

17 Q. And she indicated that he did not require admission to  
18 the ICU or monitored unit. And, in fact, discussed her plan  
19 with the ICU or critical care attending, Dr. Foronjy. And that  
20 the plan was for Mr. Rodriguez to be cared for on the medical  
21 floor, which was Northwest 8 at Montefiore Medical Center. I  
22 want you to assume all that.

23 First of all, you saw all the information in the  
24 testimony and in the records?

25 A. Yes. I saw Dr. Leung's consult, and I read her

1 testimony.

2 Q. Doctor, do you have an opinion with a reasonable degree  
3 of medical certainty, whether or not Dr. Leung's treatment was  
4 in accordance with treatment and judgment and plan, I should  
5 say, that was in accordance with good and accepted medical  
6 practices?

7 A. Yes.

8 Q. What's your opinion?

9 A. Yes. Her note reflected a very careful assessment of  
10 the history. A careful examination of the patient. She looked  
11 for signs of respiratory distress or respiratory difficulty.  
12 She noted the oxygenation was low, but responded to the low flow  
13 three liter nasal oxygen. And she appropriately made  
14 recommendations for treatment with antibiotics and for follow-up  
15 of the patient's kidney function.

16 Q. Doctor, what was the significance, if any -- withdrawn.  
17 What is the significance, if anything, about her  
18 findings that the patient was able to lie flat, speak full  
19 sentences and not use accessory muscles to breathe?

20 A. That's very significant.

21 Q. Why?

22 A. Whenever a patient has pneumonia, the doctors are  
23 looking and the nurses are looking for signs of respiratory  
24 difficulty. This is what we call work of breathing. How hard  
25 is the patient working to breathe. As I look at all of you

1 sitting in the jury box, you're relaxed. You're not using any  
2 muscles to breathe. Breathing is easy.

3 If we were to go outside and run up four flights of  
4 stairs, when you get to the top, you'd see your chest muscles.  
5 Your muscles being used. The same kind of thing can happen with  
6 bad pneumonia. The patient may be sitting on the stretcher or  
7 lying on the stretcher and despite being at rest, they could be  
8 labored to breathe, working hard, using the muscles; the chest  
9 muscles, the abdominal muscles, the shoulder muscles. They  
10 might be leaning forward and working hard to breathe.

11 But as a doctor or nurse looks at a patient, they are  
12 looking for those signs of labored breathing. Difficulty  
13 breathing. Respiratory distress. Work of breathing.

14 And these signs of him being able to lie flat, relaxed,  
15 being able to express a full sentence without having to stop and  
16 take a breath, those are all signs that his breathing is  
17 compensated. He's doing fine. He's not working hard. He's not  
18 going to tire out. Those are predictive signs that this  
19 pneumonia is under control with the oxygen, the fluids and the  
20 antibiotics that he's receiving.

21 Q. Doctor, we know that Dr. Leung indicated under  
22 impression, he's very hypoxic. Is the impression of being very  
23 hypoxic consistent with what you just described?

24 A. Well, the term very hypoxic is appropriate. That goes  
25 back to the triage number. On room air in triage, his

1 oxygenation was 79 percent. That's a red flag. That's  
2 concerning. But at the same time, he got nasal oxygen, and  
3 we're in the above 90 percent safety zone. And he's breathing  
4 comfortable.

5 Now, Dr. Leung did additional testing to confirm those  
6 finding. She took the oxygen off. She waited a period of  
7 minutes and then she drew blood from the radial artery in the  
8 wrist to measure all those things. The blood pH, the blood  
9 carbon dioxide level and the blood oxygen level, which are all  
10 tests to see how well the lung is working.

11 Q. Now, Doctor, Dr. Leung in her note indicated the  
12 arterial blood gas as ABG and in parenthesis says RA. What does  
13 that mean?

14 A. Room air. The patient is breathing 21 percent oxygen  
15 from the atmosphere. No extra oxygen.

16 Q. And the value of the first -- the first number she  
17 described was pH, 7.387. What was the significance of that?

18 A. That's the acid base balance of the blood. And the  
19 body needs a narrow range of acid base blood for the  
20 physiological process to take place. That's a normal pH. 7.35  
21 and 7.45 is normal pH. The acid base balance is normal.

22 Q. And this is the time when he's not receiving oxygen;  
23 correct?

24 A. Correct.

25 Q. In fact, Doctor, if you take a look, there's a couple

1 other numbers there. Can you tell us what the number is?

2 A. Four numbers listed here. The first one was the pH  
3 which we just discussed.

4 The second number, PCO-2 which is a fancy medical term  
5 for the carbon dioxide level. This is the carbon dioxide level.  
6 It's 27. That's lower than normal, but that's actually a good  
7 sign. That means he's breathing a little extra to get carbon  
8 dioxide off and try to keep his oxygen up. This means, he has  
9 some degree of reserve in his lungs. He can breathe more than  
10 normal to blow off extra carbon dioxide.

11 The third number is where we are concerned, which is  
12 what was identified at triage. 48.9 is the oxygen level in the  
13 blood. There's two ways of measuring oxygen. Direct pressure  
14 and saturation.

15 See, the fourth number is the saturation. So here, we  
16 have an oxygen level of 48.9. On room air. We know his lungs  
17 are not working normally. That's low oxygen level leading to a  
18 saturation of 86 percent. Below the safety zone of 90 percent.

19 Q. That's with him getting oxygen?

20 A. With no oxygen being delivered, which is why he's being  
21 admitted to the hospital, to be given oxygen and to have his  
22 oxygen level checked periodically.

23 So we know from over here, 86 percent oxygenation on  
24 room air, RA. Then 94 percent on three liters, nasal oxygen.  
25 He's on the low flow nasal prongs when Dr. Leung is assessing

1 him.

2 Off the oxygen, low oxygen level. Needs to be admitted  
3 to be given oxygen and antibiotics.

4 On the oxygen, we see that over here -- where is it?  
5 Room air, 86 percent. Danger. Three liters nasal oxygen, 94  
6 percent, safety zone.

7 Q. Doctor, you mentioned that Dr. Leung made a reference  
8 or recommendation for renal consult. Do you see that?

9 A. Yes, I did.

10 Q. Now, do you have an opinion with a reasonable degree of  
11 medical certainty, whether that was good practice to do that?

12 A. Yes, I do.

13 Q. Why? What is your opinion?

14 A. That was definitely a good practice.

15 Q. Why?

16 A. The blood tests that were done on Mr. Rodriguez showed  
17 that the BUN and the creatinine, which are the main tests for  
18 kidney function, were very elevated. And he was in a degree of  
19 renal failure.

20 Now, basically with renal failure, there's emergency  
21 medicine and critical care prospective. Is the potassium  
22 dangerous? Is the fluid balance dangerous? Is the pH  
23 dangerous?

24 There are certain danger signs that we look for with  
25 kidney function. There are five things. He did not have any of

1 the immediate danger signs of kidney failure that would require  
2 emergency dialysis to cleanse his blood. He does have a risk in  
3 the future for developing any of those problems. Given the  
4 level of his kidney dysfunction, at some point in the future, he  
5 is very likely to require dialysis. So he doesn't need a kidney  
6 consult now, because he doesn't have any of the danger signs  
7 that require emergency dialysis.

8 It would be wise to have a kidney specialist follow him  
9 in the hospital, see him the next day or within 24 to 48 hours  
10 to follow along for a couple reasons. To figure out why he's in  
11 kidney failure and to plan going forward, is he going to need  
12 dialysis at some point in the future? Is he going to need the  
13 special vein graft in his arm so he can go on and off the  
14 dialysis machine? What is he going to need to maintain his  
15 health going forward?

16 Q. I want you to assume Mr. Rodriguez was admitted to  
17 medical floor Northwest 8 about 11 p.m. When he was admitted,  
18 he was seen by a Dr. Bellows and Dr. Bhullar. Dr. Bhullar being  
19 more senior to Dr. Bellows.

20 I want you to assume further that Dr. Bhullar during  
21 the evaluation of Mr. Rodriguez, had spoken to another senior  
22 resident to him, Dr. Banner concerning the care and management  
23 of Mr. Rodriguez.

24 I want you to assume further that on examination by  
25 Dr. Bellows and Dr. Bhullar, their chart reflects that he was

1 described as being in no acute distress. NAD appears in the  
2 chart.

3 I want you to assume further, it's recorded that he's  
4 alerted and oriented times three.

5 I want you to assume further that the chart of the two  
6 doctors reflects that Mr. Rodriguez was not using accessory  
7 muscles to breathe.

8 I want you to assume further, it's noted that he's  
9 speaking in full sentences.

10 And I want you to further assume that he is -- the note  
11 reflects that he's suffering from hypoxia without getting  
12 oxygen.

13 I want you to assume further that both Dr. Bhullar and  
14 Dr. Bellows reached an impression he was suffering from  
15 pneumonia. And the plan was for him to continue on antibiotics,  
16 I.V. fluids and supplemental oxygen.

17 I want you to assume further that Dr. Bhullar testified  
18 in this court that in his judgment, Mr. Rodriguez did not  
19 require admission to a monitored unit or ICU as of the time of  
20 his evaluation between 11 and 12 or 12:30 in the morning.

21 Doctor, do you have an opinion within a reasonable  
22 degree of medical certainty, whether or not the care and  
23 treatment rendered by Dr. Bhullar and Dr. Bellows and more  
24 specifically, their plan to manage Mr. Rodriguez on Northwest 8,  
25 was in accordance with good and accepted medical practice as a

1 reasonable exercise of medical judgment?

2 A. I do.

3 Q. What's your opinion?

4 A. My opinion is that there are notes in the chart that  
5 reflect again a very careful, thorough evaluation taking the  
6 history of what led to this problem. The two days of shortness  
7 of breath. The cough. The nausea. The diarrhea. A thorough  
8 evaluation of what had transpired so far. The vital signs in  
9 the emergency. The low oxygen level. The good response to  
10 oxygen. They did their own physical examination at that time,  
11 looking for any signs of respiratory difficulty.

12 Based upon that, they also reviewed the blood tests and  
13 the x-rays and CAT scan of the lungs. That was done.

14 Based upon all that, clearly the diagnosis of pneumonia  
15 was appropriate. And the vital signs were stable. And the  
16 oxygen level was well compensated with the nasal oxygen.  
17 Actually, at that point, it was 40 percent venting mask that he  
18 was on.

19 And based upon all those findings, the patient being  
20 comfortable with the treatment that was being provided, it was  
21 appropriate for him to be on the floor, the regular floor in the  
22 hospital receiving antibiotics, I.V. fluids and periodically  
23 checks by the nurses and doctors in Montefiore Hospital.

24 Q. I want you to assume that Dr. Schiffer?

25 MR. ARGINTAR: Dr. Schiffer.

1 Q. I want you to assume that Dr. Schiffer testified as of  
2 11 p.m. when Mr. Rodriguez was evaluated by Dr. Bellows and  
3 Dr. Bhullar, Mr. Rodriguez was in respiratory distress. Had  
4 complaints of shortness of breath. And because of that, he  
5 should have been admitted to a monitored unit.

6 Do you have an opinion within a reasonable degree of  
7 medical certainty whether you agree or disagree with Dr. Mark  
8 Schiffer, medical doctor?

9 A. I disagree.

10 Q. Why?

11 A. Well, basically again, you don't look at one little  
12 piece of data in isolation. Yes. The patient complained of  
13 shortness of breath. He's here. He was in the hospital with  
14 pneumonia. That's expected. You look at the entire picture.  
15 Doctors look at a collage of the picture. You look at the  
16 oxygenation. You look at the work on breathing. You look at  
17 the overall vital signs. You listen to the lungs.

18 Putting all those things together, not one little piece  
19 of data saying he complained of shortness of breath, which was  
20 expected, looking at the global picture, overall piece of  
21 information that you have, he is stable. His vital signs are  
22 good. His oxygen level is good and he's breathing fine. He's  
23 getting antibiotics and I.V. fluids.

24 There was no indication to move him from the medical  
25 floor for a different level of care at that time.

1 Q. I want you to assume on admission to the floor,  
2 Mr. Rodriguez was evaluated by Nurse Shajimon when she evaluated  
3 him, recorded that he was alert and oriented times three. He  
4 was not confused. His speech was clear. He was described as  
5 calm. He was cooperative. He was not complaining of pain. He  
6 was not cyanotic. And that she reached an impression he was not  
7 suffering from respiratory distress as of the time of her  
8 evaluation. And as of the time she was treating him in the  
9 course of that night.

10 Doctor, do you have an opinion within a reasonable  
11 degree of medical certainty whether or not Nurse Shajimon's  
12 evaluation of Mr. Rodriguez was in accordance with accepted  
13 standards of care?

14 A. Yes.

15 Q. Why?

16 A. Again, her notes in the chart reflect extensive initial  
17 evaluation when the patient arrived on the floor. Then ongoing  
18 notes over time over the subsequent hours that he was there.  
19 She spent time evaluating the patient, looking at him.  
20 Observing him. And there were no danger signs that would alert  
21 a nurse that the patient is in trouble. That he needs to be  
22 moved or something different needs to be done.

23 Q. I want you to assume Nurse Shajimon testified that the  
24 practice at Montefiore Hospital Northwest 8, was that every  
25 hour, either the nurse or nurse's assistant would check the

1 patient, in addition to the additional interventions that the  
2 nurses might be performing, such as in this case, starting  
3 I.V.s, changing I.V.s and inserting a Foley catheter.

4 Doctor, do you have an opinion with a reasonable degree  
5 of medical certainty, if that's good practice?

6 A. Yes. That's standard practice. I've been familiar  
7 with the nurses making periodic rounds, and the nurse's  
8 assistants making rounds. And if there's a change in the  
9 patient's condition, they note it.

10 Q. When checking a patient when he's asleep like  
11 Mr. Rodriguez, is it standard of care to wake him up and say how  
12 are you feeling?

13 A. Your patients would be pretty unhappy if you did that.  
14 You want to let them get rest so they can recover from the  
15 illness. During that period of time, you can observe a patient  
16 sleeping. What is their respiratory pattern? Is it labored?  
17 Relaxed? Is their color pink? Is there any sign of distress?  
18 Sweating? Uncomfortable?

19 Observing a patient while sleeping is part of good  
20 medical practice for the nurse, also.

21 Q. I want you to assume, Mark Schiffer testified at 12:30  
22 in the morning, it was his opinion that Mr. Rodriguez was  
23 suffering from shortness of breath and respiratory distress.  
24 And at that time, he should have been moved to a monitored unit.

25 Do you have an opinion with a reasonable degree of

1 medical certainty whether you agree or disagree with that  
2 opinion?

3 A. What time are you asking?

4 Q. 12:30 p.m.

5 A. 12:30 p.m., I disagree.

6 Q. Why?

7 A. Again, the resident as well as the intern, did another  
8 assessment. And the patient on his assessment showed the same  
9 signs of being in no labored breathing. No signs of respiratory  
10 working high. No signs of distress. He was stable and  
11 appropriate for the floor.

12 Q. Doctor, I want you to assume that the autopsy report  
13 was prepared and indicated that the cause of death was  
14 bronchopneumonia complicated with diabetes mellitus.

15 I want you to assume further that Mark Schiffer  
16 testified that Dr. Rodriguez suffered from respiratory distress  
17 that led to respiratory arrest that caused him to struggle to  
18 breathe and to suffer cardiac arrest.

19 Do you have an opinion within a reasonable degree of  
20 medical certainty, whether you agree or disagree with  
21 Dr. Schiffer?

22 A. I disagree.

23 Q. Can you tell us why?

24 A. Well, clearly, what we do know, there was cardiac  
25 arrest. Because the patient was found unresponsive and his

1 heart had stopped. That's a known fact from the records and  
2 from what was observed.

3 But what is unusual about this case is when patients  
4 die from progressive overwhelming pneumonia as the primary  
5 issue, they develop this progressive worsening, shortness of  
6 breath, labored breathing. It goes through stages. It's a  
7 process that generally occurs over a period of hours to days  
8 where the pneumonia will be getting worse and worse.

9 And there was no evidence here when he was observed in  
10 the emergency department, when he went to the floor of this  
11 progressive labored breathing, getting heavier, heavier, using  
12 accessory muscles, fighting for air. There was no evidence of  
13 that.

14 We do know that he had a bad diffused pneumonia. We do  
15 know that he had untreated diabetes mellitus from the autopsy  
16 results. He said he was on no medication. And we know he had a  
17 diabetic injury to the kidney from the --

18 Q. That's reported on the autopsy report?

19 A. I don't want to get into technicalities. The autopsy  
20 report has Kimmelstiel-Wilson lesions. To make it simple, they  
21 saw the scar tissue on the kidneys, classic for diabetes that  
22 hasn't been well treated.

23 Q. Is that not treated for a couple hours or couple days?

24 A. No. That's not treated for years.

25 We know about the pneumonia. We know about the kidney

1 problems. We know about the diabetes from the autopsy. And  
2 finally, he has what's called left ventricular hypertrophy. An  
3 enlargement and thickening of the heart that increases your risk  
4 for cardiac problems.

5 Q. Is that something that's documented in the autopsy  
6 report as a finding by the medical examiner of a left  
7 ventricular hypertrophy or enlarged left side of the heart?

8 A. Yes. That's in the report from the medical examiner in  
9 her examination of the heart.

10 So with all this constellation of findings in the  
11 autopsy, both the known bilateral pneumonia that was not causing  
12 the labored breathing or major distress, the most likely thing  
13 that occurred -- and this is based on all my experience in  
14 treating thousands and thousands of patients who are very  
15 sick -- that he had a cardiac arrhythmia suddenly in the setting  
16 of all the medical problems. And his heart stopped and he died.

17 Q. When that happens, does it happen quickly, like  
18 lightning?

19 A. An arrhythmia happens in seconds.

20 Q. A patient doesn't even know it happened?

21 A. No. You just kind of pass out and that's the end.

22 MR. SHAUB: Thank you very much. I have nothing  
23 further.

24 (Whereupon, the transcript is continued on the  
25 next page.)

1 THE COURT: Counsel.

2 MR. ARGINTAR: Thank you.

3 CROSS EXAMINATION

4 BY MR. ARGINTAR:

5 Q. Good afternoon, Doctor.

6 A. Good afternoon.

7 Q. We've never met before?

8 A. No.

9 Q. We have never spoken before?

10 A. Not that I know of, no.

11 Q. You've been under cross examination before, correct?

12 A. Yes.

13 Q. Okay. If I ask you a yes-or-no question, I ask that  
14 you answer it yes or no. If you can't, make that clear and I'll  
15 try and rephrase the question. Is that okay?

16 A. Fine, sure.

17 Q. Okay. The autopsy report that was done where it says  
18 cause of death bronchopneumonia complicating diabetes myelitis  
19 it doesn't saying about a cardiac arrhythmia, does it?

20 A. No.

21 Q. So, true or false, you disagree with the medical  
22 examiner in this case as to the cause of death. Do you disagree  
23 or agree?

24 A. I'd be happy to explain but I can't answer yes or no.

25 Q. You can't answer yes or no. Okay.

1                   Do you agree that the most likely cause of death as  
2                   stated by the medical examiner in his report is bronchopneumonia  
3                   complicating diabetes myelitis. Do you agree with that?

4                   A.    I agree that was the acute illness, yes.

5                   Q.    Do you agree that that's what the medical examiner  
6                   said the cause of death was in his report?

7                   A.    We can all see it there.

8                   Q.    We can all see it? Okay.

9                   A.    Yes.

10                  Q.    Do you disagree that pneumonia was the primary cause  
11                  of death for Wilbur Rodriguez? Do you agree or disagree with  
12                  that?

13                  A.    It depends on your perspective on that the final event  
14                  was the cardiac arrest but the pneumonia was part of what led to  
15                  it.

16                  Q.    Well, Doctor, every patient who dies has a cardiac  
17                  arrest eventually, correct?

18                  A.    Yes.

19                  Q.    Okay. So when you say that Wilbur Rodriguez had a  
20                  cardiac arrest, you can say that for every single person in the  
21                  world who dies?

22                  A.    Not dying from pneumonia but from having arrhythmia.

23                  Q.    But every single person in this world eventually has a  
24                  cardiac arrest?

25                  A.    Their heart will stop, yes.

1 Q. You agree that there's absolutely nothing in the  
2 autopsy report that would indicate that Wilbur Rodriguez had a  
3 heart attack? Do you agree with that?

4 A. I didn't say he had a heart attack. I said he had  
5 arrhythmia.

6 Q. Do you agree with me that there's no evidence that he  
7 had a heart attack? Do you agree?

8 A. There's no evidence of a blocked blood vessel.

9 Q. Of a heart attack?

10 A. You would have to define what you're saying.

11 Q. Well, I'll ask you this. There's no evidence of any  
12 blockages in his heart, correct?

13 A. Agreed.

14 Q. Okay. Would you agree that that would be the most  
15 likely evidence of a heart attack in an autopsy?

16 A. Not in this case because in arrhythmia it does not  
17 leave a blockage in the heart. Arrhythmia is just a sudden  
18 electrical erratic heart beat that stops the heart and that's a  
19 most likely explanation in this case.

20 Q. Doctor Silberman, you are not a medical examiner,  
21 correct?

22 A. Correct.

23 Q. Do you agree with me that you are not as qualified as  
24 a medical examiner to do an autopsy?

25 A. That's correct.

1 Q. Okay. And the medical examiner in this case said that  
2 the most likely cause of death, as indicated in his report, was  
3 pneumonia. You agree with that?

4 A. Agreed, yes.

5 Q. You just agreed he's more qualified than you to do the  
6 autopsy, correct?

7 A. Correct.

8 Q. You also agree that the medical examiner in this case  
9 has absolutely no interest whatsoever in the outcome of the case  
10 we have here today?

11 A. None that I know of.

12 Q. He's not a witness on behalf of the plaintiff or the  
13 defendant, correct?

14 A. Correct.

15 Q. You are here to testify on behalf of the defendant,  
16 the hospital, correct?

17 A. Based on the medicine, yes.

18 Q. I know you reviewed the medicine but you're being  
19 compensated today to testify on behalf of the defendant?

20 A. I'm just here to give my medical opinion.

21 Q. But when you receive records from Mr. Shaub's office,  
22 you're aware because you worked with his firm before that Mr.  
23 Shaub and his firm they represent hospitals and doctors in these  
24 medical malpractice case. You're aware of that?

25 A. If there's a problem, I have no hesitation telling him

1 the doctor made had a mistake.

2 Q. Doctor, that's not what I asked. You're aware when  
3 you get records from him it's going to be from his office, that  
4 his office represents doctors and hospitals. You're aware of  
5 that, correct?

6 MR. SHAUB: We do other stuff too.

7 MR. ARGINTAR: Okay. Your Honor --

8 THE COURT: Do you want to testify?

9 MR. SHAUB: Not right now.

10 THE COURT: Continue.

11 Q. Doctor, off the bat when you get records from Mr.  
12 Shaub's office, you're aware that if he eventually is going to  
13 use you to testify you're aware that it's going to be on behalf  
14 of a doctor or hospital if you choose to accept the case?

15 A. Well, I would never testify if I don't agree with the  
16 care that was given.

17 Q. I know but if you do agree you're aware that it's  
18 going to be on behalf of a doctor or a hospital, correct?

19 A. If the care is appropriate, I will agree to give  
20 testimony but not otherwise.

21 Q. Okay. Now, so your theory is that despite that the  
22 autopsy says nothing about a sudden cardiac arrhythmia your  
23 theory is that that's the most likely way that Wilbur Rodriguez  
24 died; is that correct?

25 A. Cardiac arrhythmia does not show up on autopsy. It's

1 a sudden electrical event like an abnormality of lighting and  
2 then it's gone. There's no evidence that the medical examiner  
3 could find anything about arrhythmia. I'm basing my opinion on  
4 the clinical picture of what happened over time and based on  
5 years of experience and --

6 Q. You didn't do the autopsy, did you?

7 A. I know. I did not.

8 Q. The medical examine did?

9 A. Yes.

10 Q. Do you agree that he could have written here under  
11 cause of death cardiac arrhythmia? Do you agree that he could  
12 have written that?

13 MR. SHAUB: Objection.

14 THE COURT: Sustained.

15 Q. Doctor, if the medical examiner thought that the cause  
16 of death was cardiac arrhythmia, do you agree that that would  
17 have been written under cause of death?

18 MR. SHAUB: Objection, your Honor.

19 THE COURT: Overruled.

20 A. They're doing an autopsy so they're looking at the  
21 gross pathology. They look at all the different organs and they  
22 do a microscopic analysis. Cardiac arrhythmia is based on the  
23 clinical scenario of what was going on with the patient, what  
24 the prognosis over time was and what happened with the nursing  
25 him at 4:00, comfortably sleeping without respiratory distress

1 and then being found unresponsive, without a pulse.

2 Q. Doctor Silberman, you agree that there was a diagnosis  
3 of severe pneumonia before Wilbur Rodriguez died? Do you agree  
4 with that?

5 A. Yes.

6 Q. And there's a diagnosis of pneumonia as the cause of  
7 death as indicated by the medical examiner after Wilbur  
8 Rodriguez died? Do you agree with that?

9 A. I agree with it.

10 Q. It is your testimony that Wilbur Rodriguez did not die  
11 from pneumonia despite the fact we have a diagnosis before and  
12 after his death of pneumonia but that rather he died from a  
13 sudden cardiac arrhythmia? Is that your testimony?

14 A. He died with pneumonia from a sudden cardiac  
15 arrhythmia, yes.

16 Q. Even if I assume -- assuming that we take your  
17 testimony that he died of a sudden cardiac arrhythmia, would you  
18 agree with me, regardless of whether you agree with me or not,  
19 if I say he died from pneumonia, you say he died from a sudden  
20 cardiac arrhythmia. Regardless of that, if Wilbur Rodriguez had  
21 been on a floor with continuous monitoring, do you agree that  
22 his chances of survival regardless of our disagreement would  
23 have greatly increased had he been on the floor with continuous  
24 monitoring?

25 A. It's impossible to speculate. There's a chance.

1 Q. A better chance of his survival if he had been on a  
2 floor with continuous monitoring, would you at least agree with  
3 that? Even assuming your theory of a sudden cardiac arrhythmia,  
4 if he was on a floor with continuous monitoring, he would have a  
5 greater chance of survival?

6 A. Based on --

7 Q. Any floor with continuous cardiac monitoring that  
8 would have alerted hospital staff immediately upon the  
9 arrhythmia that you say caused his death. Will you agree that  
10 his chances of survival would have increased?

11 A. If he was in the ICU and the arrhythmia was  
12 immediately, you know, recognized and shock could be given that  
13 there was a chance that he could have survived.

14 Q. Right. Because even with your theory of a sudden  
15 cardiac arrhythmia, if you're in a floor with continuous  
16 monitoring such as an ICU and you agree that they are step down  
17 floors, first of all, that have continuous monitoring in a  
18 hospital? Do you agree with that?

19 A. Montefiore has a telemetry floor with heart  
20 monitoring.

21 Q. So if Wilbur Rodriguez was on a continuous monitor  
22 where they could have rushed to him immediately upon the sudden  
23 cardiac arrhythmia, they could come to him and shocked him  
24 immediately, correct?

25 A. In the ICU, the response time is much quicker than on

1 the telemetry unit. As I said, in the ICU, that could be  
2 something that could make a difference.

3 Q. Is the response time greater than 40 minutes in the  
4 ICU?

5 A. Obviously not.

6 Q. Sometimes better, right, in the ICU?

7 A. Response time is better. You can respond.

8 Q. How about the step down unit where they have  
9 continuous cardiac monitoring, is that response quicker than 40  
10 minutes?

11 A. You would have to look at the response time. You have  
12 to look at the results of multiple hospital studies across the  
13 country in telemetry units and the recovery from cardiac arrests  
14 and they really didn't reach statistical significance in  
15 improvement in outcomes.

16 Q. You mean to tell me he's on a step down unit with  
17 continuous cardiac monitoring that you believe it would have  
18 taken more than 40 minutes to come to Wilbur Rodriguez' aid upon  
19 his sudden cardiac arrhythmia?

20 MR. SHAUB: I object to the form of the question.

21 THE COURT: Overruled.

22 A. That wasn't what I said.

23 Q. You agree with me that if he was on a step down unit  
24 with continuous cardiac monitoring they would have gotten to  
25 Wilbur Rodriguez much quicker than 40 minutes. You agree with

1 that?

2 A. Generally, when the heart stops on a telemetry unit,  
3 the response time is within a few minutes, yes.

4 Q. Within a few minutes?

5 A. Correct.

6 Q. You're aware that Wilbur Rodriguez went 40 minutes  
7 where nobody responded to him, correct?

8 MR. SHAUB: Objection, Your Honor. Objection.

9 MR. ARGINTAR: I'll withdraw the question.

10 Q. You're aware that 40 minutes go by from when Wilbur  
11 Rodriguez is last checked on until he's found unresponsive?  
12 You're aware there's a forty-minute gap? Do you agree with that?

13 A. I know he was seen by the nurse and comfortably  
14 breathing fine at 4:00 a.m. and I know that some time between  
15 4:00 a.m. and 4:40 or 4:55 a.m., some time during that period,  
16 he became unresponsive. Whether that was a minute before, ten  
17 minutes, I don't know exactly how long it was.

18 Q. But just to wrap up this area, Doctor, you'll agree  
19 with me that his chances of survival increased whether we agree  
20 or not if he's on a floor with continuous monitoring? You agree  
21 with that?

22 MR. SHAUB: I object. This is --

23 THE COURT: That's okay. It's the fourth time he  
24 can ask.

25 A. You have to have an indication for cardiac monitoring

1 before you can --

2 Q. That wasn't my question. If he was on continuous  
3 cardiac monitoring, his survival prognosis would have been  
4 better? You agree with me?

5 A. He would have needed to have an indication beforehand.

6 Q. That's not my question, Doctor. If he was there -- I  
7 know you don't agree with me that he should have been there --  
8 if he was there, his prognosis for survival would have been  
9 better than if he was --

10 A. If he had cardiac arrhythmia, he would be better off  
11 on a heart monitor.

12 MR. ARGINTAR: Your Honor, I move to strike.

13 THE COURT: Doctor, the question calls for a yes  
14 or no if you can answer yes or no. If you cannot, you have  
15 to indicate that.

16 THE WITNESS: Okay. I'm sorry.

17 Q. Doctor, my question is this. If Wilbur Rodriguez had  
18 been on a continuous cardiac monitor, assuming your theory of a  
19 sudden cardiac arrhythmia, his chances of survival would have  
20 been better than had he been where he was on Northwest 8, yes or  
21 no?

22 A. Mm-hmm. I can't answer that yes or no but I would be  
23 happy to explain.

24 Q. Okay. Doctor, do you remember testifying for Mr.  
25 Shaub's firm in the case LaPierre, December 1st, 2001?

1 A. Yes.

2 Q. And that was in the County of Queens. You were asked  
3 the question:

4 "QUESTION: And what does that say with respect  
5 to the level of monitoring that was being done on this  
6 particular patient in that particular unit?

7 "ANSWER: It shows that the monitoring was very  
8 close and that he was rapidly -- his rhythm abnormality was  
9 rapidly recognized and his successful resuscitation with a  
10 shock was shown it was rapidly recognized. We know when  
11 ventricular fibrillation is shock very early on it's  
12 successfully resuscitated to a normal heart rhythm which is  
13 what occurred in this case. If there is a delay in the  
14 recognition of that abnormal rhythm, the electrical shock  
15 becomes less effective."

16 Do you remember saying that?

17 A. I agree with that.

18 Q. Do you agree with me that if there's a delay in the  
19 electrical shock that the shock becomes less effective in  
20 patients who have a sudden cardiac arrhythmia?

21 A. That's correct.

22 Q. You mention that it was very significant to you --  
23 correct me if I'm wrong -- that Wilbur Rodriguez could speak  
24 full sentences when he was examined by Doctor Leung. Is that  
25 significant to you?

1 A. Yes, it was.

2 Q. Okay. Are you aware that there's also a note in the  
3 chart where it says that Wilbur Rodriguez cannot speak full  
4 sentences?

5 A. Yes. I saw that nurse's note. I'm fully aware of it.

6 Q. Would that concern you as a physician the fact that  
7 there was a note that he cannot speak full sentences?

8 A. Again, you're looking at all the pieces of data. That  
9 would be one piece of important data to consider, yes.

10 Q. Well, you don't take one of them to be accurate and  
11 one of them not to be accurate, do you?

12 A. No.

13 Q. Okay.

14 A. Different observers, different times.

15 Q. Okay. For somebody not to be able to speak full  
16 sentences, would you agree with me that's an indication that the  
17 respiratory health is pretty poor?

18 A. It is an indication that they have, you know,  
19 decreased oxygen level so they can eventually speak a certain  
20 number of words and then take a breath and then continue, yes.

21 Q. You agree with me in triage when he came in with O2  
22 saturation of 79 percent that is alarming to a physician? Do  
23 you agree with that?

24 A. Yes.

25 Q. You agree with me that 79 percent is barely

1 sustainable with life? Do you agree with that?

2 A. It depends on the circumstances. With some people  
3 with congenital heart disease and chronic hypoxic can walk  
4 around for years with O2 sats in the 70s.

5 Q. Doctor Shaub, I would ask you to assume --

6 THE COURT: Doctor Shaub?

7 Q. I mean Mr. Shaub.

8 MR. SHAUB: I have a JD degree.

9 MR. ARGINTAR: Juris Doctorate Shaub.

10 Q. Mr. Shaub asked you to assume that a Doctor Ken Banner  
11 was consulted by Doctor Bhullar. Do you remember when he asked  
12 you to assume?

13 A. He's the ER. I'm familiar with that.

14 Q. Are you aware that Doctor Bhullar testified that  
15 Doctor Banner actually did his own independent examination of  
16 Wilbur Rodriguez? Are you aware of that?

17 A. Well, I don't know if I would classify it that way  
18 because the MAR, the medical admitting resident's role is very  
19 specific in terms of processing the patient from the ER to the  
20 inpatient service.

21 Q. I want you to assume that Doctor Bhullar, in fact, did  
22 testify that Doctor Ken Banner did an examination of Wilbur  
23 Rodriguez. Okay. I want you to assume --

24 A. For, in addition, specifically for that purpose.

25 Q. He said he did an examination of Wilbur Rodriguez. Do

1 you agree with me that it is poor practice for a doctor in a  
2 hospital to do an examination of a patient and not have a single  
3 note in the chart? Do you agree that is poor?

4 A. I disagree.

5 Q. Okay, Doctor. Same LaPierre case we were talking  
6 about, County of Queens. You were testifying for Mr. Shaub's  
7 firm December 1st, 2003:

8 "QUESTION: Doctor, it's good and accepted  
9 practice, isn't it, to write notes in a patient's chart?

10 "ANSWER: Yes."

11 Do you remember testifying to that?

12 A. Of course.

13 Q. Now, Doctor, if this Doctor Banner did his own  
14 independent examination of Wilbur Rodriguez, do you agree with  
15 me that he should have put a note in the chart?

16 A. Five other doctors wrote extensive notes.

17 Q. Doctor --

18 MR. ARGINTAR: I move to strike, Your Honor.

19 THE COURT: That is stricken.

20 Q. Yes or no, would it had been good and accepted  
21 practice for Doctor Banner, assuming he did an independent  
22 examination of Wilbur Rodriguez, would it had been good and  
23 accepted practice for him to put a note in the chart?

24 A. It would be very hard to explain my answer but I can't  
25 answer yes or no.

1 Q. Okay. Now, we've gone over the fact that the critical  
2 care fellow was Doctor Leung, correct?

3 A. Correct.

4 Q. She was a first-year fellow.

5 A. She was a PGY4, her first year in fellowship training.

6 Q. Four years out of medical school?

7 A. Yes.

8 Q. Still in training, correct?

9 A. Correct.

10 Q. She testified and, as in her note, that she wanted a  
11 renal ultrasound and a renal consult, correct?

12 A. That's correct.

13 Q. Okay. I also want you to assume that Doctor Leung  
14 testified that when I asked her when did you want this renal  
15 consult to occur, her answer was before being discharged. Do  
16 you agree with that? That he needed the renal consult just  
17 before he was discharged?

18 A. I agree that it was not a stat consult. It didn't  
19 need to be done immediately. The ultrasound did not need to be  
20 done immediately. The patient should be seen within 24 or 48  
21 hours for a renal consult.

22 Q. He never got the renal consult before he died, did he?

23 A. Like if --

24 Q. Yes or no? Yes or no? Did he ever get the renal  
25 consult before he died?

1 A. No.

2 Q. Did he ever get the renal ultrasound before he died?

3 A. No.

4 Q. Did he ever see a pulmonologist before he died?

5 A. No.

6 Q. Now, the two doctors -- withdrawn.

7 Would you agree that communication is important in a  
8 hospital between doctors?

9 A. Very important.

10 Q. Very important?

11 A. Yes.

12 Q. Now, are you aware that Doctor Mukherji's note where  
13 he writes admit was done between 12:30 and 1:30 p.m. Are you  
14 aware of that?

15 A. Yes.

16 Q. Are you aware that the ICU consult by Doctor Leung was  
17 approximately around 5:30 p.m.?

18 A. Yes.

19 Q. All right. So, Doctor, would you agree with me that  
20 when Doctor Mukherji wrote his note to admit -- withdrawn.

21 You're aware of the fact that it was Doctor Mukherji's  
22 decision where to admit Wilbur Rodriguez, correct?

23 A. Yes.

24 Q. So would you agree with me that when Doctor Mukherji  
25 wrote his note to admit he had, in fact, not spoken with the

1 critical care doctor yet?

2 A. Clearly that's correct.

3 Q. Clearly that's, correct?

4 A. That decision -- well --

5 Q. That's correct. Now, the two residents that see  
6 Doctor -- that see Wilbur Rodriguez on Northwest 8, Doctor  
7 Bellows and Doctor Bhullar, correct?

8 A. Yes.

9 Q. Well, Doctor Bellows was a first-year resident?

10 A. Yes.

11 Q. One year out of medical school?

12 A. Yes.

13 Q. Doctor Bhullar two years out of medical school?

14 A. Yes.

15 Q. Would you agree with me those two doctors are very  
16 inexperienced?

17 A. It speaks for itself. They have a year and two years  
18 of experience.

19 Q. They are still in training?

20 A. Still in training.

21 Q. Still learning the practice of medicine?

22 A. Correct.

23 Q. They have no hospital privileges of their own,  
24 correct?

25 A. They have privileges to write orders and manage

1 patients.

2 Q. But they can't admit patients on their own in the  
3 hospital, correct?

4 A. It's part of a team.

5 Q. They couldn't just go out and open their own medical  
6 practice?

7 A. They could under New York State law after one year of  
8 training.

9 Q. A first-year resident can go out and start their own  
10 practice?

11 A. To get a license in New York State, you have to have  
12 one year of postgraduate training and you can open a general  
13 practice.

14 Q. Nobody does that?

15 A. Nobody does that any more. That's from the previous  
16 generation.

17 Q. So, Doctor, practically speaking, neither of these two  
18 doctors would open their own practice, correct?

19 A. They are both in the three-year training program.

20 Q. Three-year training?

21 A. Correct.

22 Q. And those are the only two doctors who see Wilbur  
23 Rodriguez after 11:00 p.m. and before he's found unresponsive at  
24 4:40. Would you agree with that?

25 A. Correct.

1 Q. Okay. So the only two doctors for the five -- in the  
2 five hours before Wilbur Rodriguez dies are first-year resident  
3 in training and a second-year resident in training, true?

4 A. That's correct, yes.

5 Q. Now, Doctor, there's some mild cases of pneumonia and  
6 some severe cases of pneumonia, correct?

7 A. Yes.

8 Q. Some you can send them home; some are life  
9 threatening?

10 A. Correct.

11 Q. Doctor, have you seen this page in the chart?

12 A. Of course, yes.

13 Q. You see 2:30 p.m. he's at 95 percent O2 saturation,  
14 correct?

15 A. Correct.

16 Q. And then over time it goes down 94, then 94, then 92  
17 at 7:00 p.m. Do you see that?

18 A. They are all in the safety zone, as I said above, 90  
19 percent.

20 Q. It's going down though, correct?

21 A. One to two points is --

22 Q. Is it going down?

23 A. Ninety-two is less than 94.

24 Q. Okay. That's what I was getting at. He's also  
25 receiving some more oxygen. Is that correct?

1 A. That's not true.

2 Q. Well, he goes from 3 liter, 3 liter, 3 liter, 4 liter?

3 A. Four is more than three.

4 Q. Four is more than three. We can agree on that?

5 A. It's still local oxygen, low level.

6 Q. But it's more than three. So he's getting more  
7 oxygen. Four is greater than three, and he's 92 -- which 92 is  
8 lower than 94. We can agree on that, correct?

9 A. The next sheet has the stats back up to 96 which you  
10 don't have that.

11 Q. Doctor, are you aware that Wilbur Rodriguez at  
12 11:00 p.m. is noted to be complaining of shortness of breath  
13 while on supplemental oxygen?

14 A. The COSOB could mean he's complaining of shortness of  
15 breath but he is complaining of shortness of breath. It depends  
16 on how you interpret it. He was in the hospital for pneumonia  
17 and shortness of breath.

18 Q. Are you also testifying at 11:00 p.m. complaining of  
19 shortness of breath doesn't mean it's happening at 11:00 p.m.  
20 Don't you think that's a reasonable interpretation, Doctor?

21 A. Well, 11:00 p.m. it says COSOB.

22 Q. On oxygen?

23 A. On oxygen.

24 Q. Three liters?

25 A. Three liters. So his complaint is shortness of

1 breath. That's correct. Whether that's coming in or out at  
2 this moment it's impossible to say.

3 Q. It says 11:00 p.m. right here, right?

4 A. It does.

5 Q. And that corresponds with the rest of the note?

6 A. Right.

7 Q. Would you agree with me that it's a reasonable  
8 interpretation of this note this is all happening at 11:00 p.m.  
9 Is that a reasonable interpretation?

10 A. Yes. That note should be written on or about events  
11 occurring at 11:00 p.m.

12 Q. Thank you. Okay. Now, 12:30 a.m. now on the 25th, we  
13 have patient is complaining of shortness of breath O2 -- I'll  
14 bring it closer -- O2 --

15 A. I can see it.

16 Q. -- complaining of shortness of breath, O2 continued  
17 venti mask. Do you see that?

18 A. Correct.

19 Q. Now, Wilbur Rodriguez actually has a mask on and  
20 giving him oxygen, correct?

21 A. Correct.

22 Q. Okay. So do you agree at 12:30 Wilbur Rodriguez is  
23 also complaining of shortness of breath, correct?

24 A. Yes.

25 Q. Okay. Because this -- you would agree with me this

1 has to be referring to something else because the venti mask is  
2 not even referenced in the 11:00 p.m. note?

3 A. 11:00 p.m. it says three liters then at 12:30 it says  
4 venti mask.

5 Q. When the nurse came in here the other day and said  
6 that her 12:30 note of complaining of shortness of breath  
7 actually referred to 11:00 p.m. that can't be possible, is it?

8 MR. SHAUB: Objection, your Honor.

9 THE COURT: Sustained.

10 Q. I want you to assume that the nurse came in here and  
11 told the ladies and gentlemen of the jury that her 12:30 note  
12 that says patient complains of shortness of breath referred to  
13 11:00 p.m. I want you to assume she testified --

14 MR. SHAUB: Objection, your Honor. I object.

15 THE COURT: Come up.

16 (Discussion off the record.)

17 MR. ARGINTAR: May I proceed?

18 THE COURT: Yes. Can you just repeat that  
19 question please

20 MR. ARGINTAR: Sure.

21 Q. I want you to assume, Doctor, that the nurse who came  
22 in the other day said that when she wrote patient complains of  
23 shortness of breath on a venti mask at 12:30 a.m. she was  
24 actually referring to when Wilbur Rodriguez came in at  
25 11:00 p.m.

1 Doctor, that is not a reasonable interpretation of  
2 this note, is it?

3 MR. SHAUB: I object to the form of that  
4 question, Judge.

5 THE COURT: Can you just approach one more time?  
6 (Discussion off the record.)

7 MR. ARGINTAR: May I proceed?

8 THE COURT: Yes.

9 Q. Doctor, would you agree that a reasonable  
10 interpretation from a medical perspective such as yours is that  
11 patient complains of shortness of breath on a venti mask because  
12 of what is occurring at 12:30 a.m. Do you agree with that?

13 A. I would be happy to explain.

14 Q. Yes or no? Okay. If you can't answer it yes or no --

15 A. I can't answer it.

16 Q. You can't answer that?

17 A. Can't say.

18 Q. You can't. Well, he wasn't on venti mask at  
19 11:00 p.m., was he?

20 A. I don't know what time he switched from nasal cannula  
21 to venti mask.

22 Q. Well, it says on oxygen three liters, right, at 11,  
23 correct?

24 A. Correct.

25 Q. It doesn't say anything about a venti mask, does it?

1 A. Correct.

2 Q. It says venti mask at 12:30 a.m., correct?

3 A. It does, yes.

4 Q. So I'm going to ask you again. Would you agree with  
5 me these complaints are happening concurrently with the note at  
6 12:30 a.m., yes or no?

7 A. I would be happy to explain but I can't say yes or no.

8 Q. If you can't, you can't.

9 The 4:00 a.m. note here, Doctor, would you agree with  
10 me that we don't know what his O2 saturation is at 4:00 a.m.?

11 A. Correct, it's not --

12 Q. It's not noted?

13 A. Not noted in that note.

14 Q. We don't know what his respirations were at 4:00 a.m.?

15 A. It's not noted.

16 Q. Doctor, we don't know what his pulse are at 4:00 a.m.,  
17 do we?

18 A. Correct.

19 Q. And then 40 minutes later is when he's found  
20 unresponsive?

21 A. Correct.

22 Q. Now, would you agree with me that nurses should check  
23 on a patient more frequently the more concern they are with his  
24 health. Do you agree with that general proposition?

25 A. She should -- it's their clinical judgment to decide

1 how frequently to check a patient.

2 Q. If a nurse is more concerned with a patient, you would  
3 agree with me, they check on him more often?

4 A. Yes.

5 Q. Less concerned check on him less amount of time?

6 A. Yes.

7 Q. So between 11 and 12:30, the nurse writes a note after  
8 an hour and a half, correct?

9 A. Correct.

10 Q. Okay. Then between 12:30 and 1:45 we have an hour and  
11 15 minutes, correct?

12 A. Correct.

13 Q. From 1:45 to 2:30 the nurse writes another note  
14 another hour and 15 minutes, correct?

15 MR. SHAUB: Objection, your Honor.

16 A. What's -- from 1:45 to 2:30 should be 45 minutes.

17 Q. I'm sorry. My bad math.

18 THE COURT: You're what?

19 MR. ARGINTAR: That was my bad math.

20 THE COURT: Bad math. I thought you said bad  
21 mat.

22 MR. ARGINTAR: My bad math.

23 Q. Forty-five minutes, okay?

24 A. Yes.

25 Q. We have a shorter time interval now, correct?

1 A. Correct.

2 Q. Okay. Then we go back between 2:30 and 4:00 a.m we're  
3 at the hour and a half?

4 A. Yes.

5 Q. Okay. Thank you. Then there's a 40-minute interval,  
6 correct?

7 A. Correct.

8 Q. That is the shortest interval of any of the nurse's  
9 notes on this entire page, correct?

10 A. Correct.

11 Q. So would it be fair to say, Doctor, yes or no, that  
12 the nurse was becoming more concerned with Wilbur Rodriguez'  
13 health based on the time intervals for which she was checking on  
14 him? Yes or no?

15 A. I can't say that these times correlate exactly with  
16 what she was checking, that she was documenting. I couldn't say  
17 one way or the other.

18 (Continued on the next page ...)

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1 Q. So is it your testimony, she was checking on him but  
2 not documenting it?

3 A. Oftentimes, nurses will make frequent rounds, and they  
4 document when there's a change or they want to write something  
5 down. The interactions with the patient often are far more  
6 frequent than what's written in the chart, based on my  
7 experience.

8 Q. Dr. Silberman, a patient is complaining of shortness of  
9 breath while having a venting mask supplying oxygen to them. .  
10 That is a bad sign for a patient; do you agree with that?

11 A. Well, it's a concern, but you have to put it into the  
12 context of the entire picture, as I said before.

13 Q. That would be a concern?

14 A. Yes.

15 Q. Not all pneumonia patients come into the hospital with  
16 complaints of shortness of breath while on a venting mask. Do  
17 you agree with that?

18 A. Not all of them.

19 Q. Would you agree the more severe pneumonia patients --  
20 and I think you testified that Wilbur Rodriguez had severe  
21 pneumonia. Would you agree that the more severe pneumonia  
22 patients, would complain of shortness of breath while on a  
23 venting mask? Do you agree with that?

24 A. They may. I certainly experienced that, yes.

25 Q. Now, do you agree with me that the last documented --

1 withdrawn.

2           Would you agree with me, that the last thing stated by  
3 Wilbur Rodriguez as documented in the chart before he was found  
4 unresponsive, is that he complained of shortness of breath? Do  
5 you agree with that?

6           MR. SHAUB:    Objection, Your Honor.

7           THE COURT:    Can you repeat that for me, please.

8           (Whereupon, the requested testimony was read back  
9 by the reporter.)

10          THE COURT:    Last thing stated by Mr. Rodriguez?

11          MR. ARGINTAR:   As documented in the chart, Your  
12 Honor.

13          THE COURT:    Overruled.

14          A.    That's the last thing written there, yes.

15          Q.    And so we know that the last thing Wilbur Rodriguez  
16 said before he was found unresponsive as documented by the chart  
17 is a complaint of shortness of breath?

18          MR. SHAUB:    Objection, Your Honor.

19          MR. ARGINTAR:   I'm not done with my question.

20          MR. SHAUB:    I think it's an objection.

21          Q.    Doctor, you just agreed with me -- I'll start over.

22                That the last thing as recorded in the chart that was  
23 stated by Wilbur Rodriguez before he was found unresponsive, was  
24 a complaint of shortness of breath. Do you agree with me that  
25 nothing was done at that time to move him to a setting with

1 continuous respiratory and cardiac monitoring? Do you agree  
2 with that?

3 A. That's correct, clearly.

4 Q. Doctor, yes or no, don't you think a patient who has a  
5 venting mask on him and is still complaining of shortness of  
6 breath, given the fact that he's got a diagnosis of diffuse  
7 pneumonia previously, a diagnosis of acute renal failure  
8 previously, should be moved to a setting with continuous  
9 monitoring? Yes or no?

10 A. That's not one of the criteria for continuing  
11 monitoring. We have very clear criteria.

12 MR. ARGINTAR: No further questions, Your Honor.

13 REDIRECT EXAMINATION BY

14 MR. SHAUB:

15 Q. Doctor, you just said that having complaints of  
16 shortness of breath on a venting mask, having renal problems and  
17 diffuse pneumonia is not the criteria for admission to an ICU or  
18 monitored unit. What do you mean by that?

19 A. That's correct. So patients with pneumonia -- the most  
20 frequent complaints among pneumonia patients is, I feel short of  
21 breath. I have cough. I have shortness of breath. These are  
22 the common things you hear.

23 The vast majority of the patients with pneumonia, well  
24 over 90 percent, never need to be in an ICU. And the reason for  
25 that is shortness of breath is a normal part of pneumonia. Some

1 patients come to the emergency room and say, I have cough and  
2 feel shortness of breath. We'll examine them. Give them a  
3 prescription to go home and take antibiotics.

4 Shortness of breath is not a criteria for admission to  
5 the ICU or a monitored bed. There are specific criteria which  
6 is hypoxia requiring intubation. You can't get it up with low  
7 flow oxygen. In fact, you can't get it up with high flow  
8 oxygen. 100 percent mask. The patient needs to go on a  
9 ventilator in the ICU. That's not the situation in this case.

10 Again, shock where the blood pressure is abnormally low  
11 and the circulation is not working to get blood and oxygen to  
12 the tissue. That's an indication for the ICU.

13 What happened to Mr. Rodriguez is very unfortunate, but  
14 he did not meet any of the criteria.

15 MR. ARGINTAR: Objection.

16 THE COURT: Sustained.

17 Q. Let's stay with the question.

18 A. He did not meet any of the criteria for transfer to the  
19 ICU.

20 Q. If a patient was complaining of shortness of breath on  
21 a venting mask and that condition was significant enough to  
22 cause him hypoxia, is there something or things that the nurse  
23 or doctor could observe about the patient without asking him any  
24 questions?

25 A. Yes.

1 Q. What?

2 A. There are many things that would be observed if the  
3 pneumonia is getting worse and the patient is in danger. His  
4 respiratory rate would be going up. We know that a respiratory  
5 rate greater than 30 is a concerning sign. He never had that.

6 We know that his heart rate could go up if he's in  
7 distress. A heart rate greater than 125 in a patient with  
8 pneumonia is very concerning. He didn't have that.

9 We know that a patient who's working hard to breathe at  
10 rest where they're using their extra muscles and laboring away  
11 like they've been running up a flight of stairs, that's a sign  
12 of danger. It wasn't there.

13 So all of these danger signs that are being looked for  
14 were not present. Complaint of shortness of breath does not  
15 equal these other objective danger signs.

16 Q. Doctor, you were asked whether or not Dr. Bellows who  
17 was the doctor in training, first-year resident, Dr. Bhullar who  
18 was a second-year resident, doctor in training, doctor, and you  
19 were asked whether these people were inexperienced.

20 Doctor, physicians, even medical students, let alone  
21 physicians that are first or second year, are they trained to  
22 recognize respiratory distress?

23 MR. ARGINTAR: Objection. Leading.

24 THE COURT: Sustained.

25 Q. What training do you give when you teach medical

1 students first-year residents, second-year residents when it  
2 comes to recognizing respiratory distress?

3 MR. ARGINTAR: Objection. Leading.

4 THE COURT: I'm going to sustain it.

5 Q. Do you teach residents?

6 A. Yes. Clearly.

7 Q. Is part of the training to learn to recognize  
8 respiratory distress?

9 A. Yes. I teach at the bedside. When we have patients  
10 who are laboring or who have hypoxia, I'll bring the residents  
11 over and explain the findings so they're aware of it and know  
12 what to look for. This is something we see commonly in the  
13 emergency department, on the floor in the hospital and in the  
14 ICU.

15 Q. Now, Doctor, medical students, do they actually work in  
16 a hospital at some point in their career?

17 A. Yes. Medical school is a four-year training program.  
18 When I trained, we used to --

19 Q. No. No. Now?

20 A. Now, we move the clinical training to the -- after one  
21 and a half years of medical school, you go into the hospital.  
22 So you have two and a half years.

23 Q. What do the medical students do when they go to the  
24 hospital?

25 A. They see patients. They examine patients. They write

1 orders under supervision of doctors. They're taking care of  
2 patients.

3 Q. When a doctor is a resident, do they see and treat  
4 patients when they're first and second-year residents?

5 A. Yes, they do.

6 Q. A national major medical teaching center like  
7 Montefiore, for a resident that's a PGY-1 or PGY-2, what would  
8 be your expectation as to how many times they've done physical  
9 examinations on patients?

10 MR. ARGINTAR: Objection.

11 THE COURT: Sustained.

12 Q. By the way, Doctor, you were asked questions by  
13 Mr. Argintar about whether the patient, Mr. Rodriguez, was on a  
14 venting mask or not. I want to read to you the trial testimony  
15 of Nurse Shajimon. Page 559, line two.

16 "QUESTION: Now, you said he came up from the  
17 emergency room on a stretcher, and I think you said he  
18 was attached to oxygen?

19 "ANSWER: Yes."

20 Page 559, line 18.

21 "QUESTION: Let me ask you before we go any  
22 further, so he came to the floor with oxygen. Was that  
23 by mask?

24 "ANSWER: Yes."

25 So when you're asked questions before whether he

1           came on the floor with a nasal cannula then changed to a  
2           mask, Doctor, Nurse Shajimon who was the one that was  
3           there, told us he came in by mask.

4                         Does that make any differences to you in terms of  
5           whether or not he had an increasing requirement for oxygen  
6           while on the floor from --

7           A.    It doesn't change my opinion.

8           Q.    Now, Doctor, you were asked about the progression of  
9           the O-2 saturation and how the numbers went from 86 on room air,  
10          then oxygen went from 96, 95, 94, 94, 92 percent. Do you see  
11          that?

12          A.    Yes, I do.

13          Q.    92 percent was 7 p.m.?

14          A.    That's correct.

15          Q.    There's another page that counsel didn't blow up. You  
16          saw the other page, did you not?

17          A.    Yes, I did.

18          Q.    In the other page -- I'll withdraw the question.

19                         MR. SHAUB:    Can we pass up to the doctor,  
20          Plaintiff's Exhibit 1 in evidence.

21                         THE COURT:    Officer.

22                                 (Whereupon, the exhibit is handed to the witness.)

23                         THE COURT:    Thank you.

24                         COURT OFFICER:  You're welcome.

25          Q.    Doctor, I want to call your attention to the second

1 page of the chart that counsel blew up and just showed you. All  
2 right?

3 A. Yes.

4 Q. Now, this is a chart reflecting vital signs for the  
5 remaining period of time that he was in the emergency room?

6 A. Yes. 7 p.m. to 10 p.m., this sheet has.

7 Q. Can you tell us, Doctor, what was the oxygen  
8 saturation while -- after the 7:00 study that Mr. Argintar asked  
9 you about?

10 A. It's documented four times as 96 percent.

11 Q. And as --

12 A. At 7:30, 8:00, 9:00 and 10:00.

13 Q. By the time he left the emergency room, his oxygenation  
14 was 96 percent?

15 A. Correct.

16 Q. Is that a good thing or bad thing?

17 A. That's good. Again, consistent with his hemoglobin  
18 molecules carrying oxygen. Anything over 90 percent is good.

19 Q. You told us normal is 95 to 100; correct?

20 A. Yes. Again, in the setting of pneumonia, there's a  
21 margin of safety. Anything above 90 percent is good tissue  
22 oxygen delivery to the brain, to the heart and to the other  
23 vital organs.

24 Q. Is that progression of 96 percent from 7:30 or so, to  
25 10:30, 10:00 at night, is that consistent or inconsistent with a

1 patient having respiratory distress, labored breathing and  
2 difficulty oxygenation?

3 A. It's well within the safety zone of 90 percent.

4 Q. Now, Doctor, you were asked questions about the note by  
5 Nurse Shajimon about notes, "complains of shortness of breath on  
6 oxygen."

7 Could we agree the person who could best tell us what  
8 was meant by that, is the person that wrote it?

9 MR. ARGINTAR: Objection.

10 THE COURT: Sustained.

11 Q. In reading that note in the context of everything else  
12 that was written about the patient, what did you interpret that  
13 entry to mean when she suggested shortness of breath on oxygen?

14 A. Again, the patient coming in with pneumonia, shortness  
15 of breath is expected. And he's on oxygen with a saturation of  
16 92 percent. He's not in dire straits or not in deep trouble  
17 when he needs to be in the ICU.

18 Q. Now, you were asked questions, was Mr. Rodriguez ever  
19 seen by a pulmonologist. You said no.

20 Was there any reason for Mr. Rodriguez to be referred  
21 to a pulmonologist at any point during this admission?

22 A. There was no indication for a pulmonary consult.

23 Q. Why not?

24 A. Basically, pneumonia is one of the most common adult  
25 medical problems. The most common infection, along with urinary

1 tract infection. General internal medicine doctors treat  
2 pneumonia all the time without specialty consultations being  
3 needed. It's routine.

4 He was getting appropriate antibiotics. As a  
5 pulmonologist, I see that they were doing all the right things.  
6 Oxygen, antibiotics to treat all the potential bacterial causes,  
7 I.V. fluids and admission to a hospital.

8 Q. If a pulmonologist was called, a pulmonologist such as  
9 yourself, was there anything else they could have done or should  
10 have done under those circumstances?

11 A. Continue the antibiotics. Continue to observe the  
12 patient and his progression.

13 Q. Now, you were asked questions before about making notes  
14 in the chart. It's good practice to make notes in the chart.  
15 And whether the fact that Dr. Banner did not make a note in the  
16 chart, whether that was a departure or problem.

17 Do you remember that testimony?

18 A. Yes.

19 Q. And you said you couldn't answer the question yes or  
20 no?

21 A. Right.

22 Q. What was the significance, if any, of the fact that  
23 Dr. Banner was the medical admitting resident in terms of his  
24 responsibility with regard to making notes?

25 A. We know there were seven doctors involved.

1 MR. ARGINTAR: Objection. It's not responsive.

2 THE COURT: Sustained.

3 Q. Doctor, I want you to assume that Dr. Bhullar testified  
4 that it's not the standard of practice at Montefiore Hospital  
5 for the medical admitting resident, the senior resident to make  
6 a note when accepting a patient to be admitted to the medical  
7 floor. I want you to assume that. You saw that, did you not?

8 A. Yes.

9 Q. Doctor, is that standard of care, is that good  
10 practice?

11 A. That's good practice.

12 Q. Why?

13 A. Well, basically, each doctor that's taking care of the  
14 patient has a specific role. The medical admitting resident,  
15 his role or her role is to basically talk to the emergency  
16 department. Talk to the inpatient doctors. And help transition  
17 the care from the E.R. where doctors have seen the patient and  
18 written extensive notes to the doctors in the hospital where  
19 they're going to see the patient and write extensive notes.

20 The role of the medical admitting resident is more of a  
21 logistics. Helping move the patient from the E.R. to the  
22 inpatient service. And they don't need to write a note when all  
23 the other doctors have written extensive notes.

24 Q. Now, Doctor, you were asked questions about the  
25 importance of Mr. Rodriguez being able to speak in full

1 sentences. Do you remember that?

2 A. Yes.

3 Q. And we know, Doctor, that Dr. Leung indicated he could  
4 speak in full sentences; correct?

5 A. Correct.

6 Q. And counsel referred you to a nurse's note that  
7 indicated that he had difficulty in speaking full sentences. Do  
8 you remember that?

9 A. Yes, I remember that note.

10 Q. Do you remember what time that was?

11 A. That was in the emergency department. The nurse that  
12 writes with the big letters. I think it was later on in his --

13 Q. Around 7, 7:30?

14 A. That's approximately right.

15 Q. I want you to assume Dr. Bhullar said he did not see  
16 the patient until after the patient was on the floor after  
17 11:00.

18 A. That's correct.

19 Q. I want you to assume he testified that he spent 45  
20 minutes to an hour with the patient. You saw that; right?

21 A. Yes, I did.

22 Q. Does Dr. Bhullar's note make reference to the patient  
23 able to speak in full sentences?

24 MR. ARGINTAR: Objection to leading.

25 Q. What, if anything, does Dr. Bhullar's note say with

1 regard to speaking in full sentences?

2 A. Right here on the note, it says in general, NAD, no  
3 acute distress. Then a no sign. No accessory muscle used.  
4 Speaking full sentences.

5 Q. So Dr. Bhullar, in his assessment, four hours after  
6 that note of the nurse, indicated that he was able to speak in  
7 full sentences; correct?

8 A. Correct.

9 Q. What's the significance?

10 A. The significance is again, looking at the overall  
11 picture, the patient is not labored with his breathing. His  
12 oxygenation is in a good range, and he's doing fine. He's in  
13 the hospital for treatment of pneumonia. There's no sign that  
14 he's getting into trouble.

15 Q. Doctor, last question.

16 You were asked whether or not you agree or disagree  
17 with the cause of death listed by the medical examiner in the  
18 autopsy report. Do you remember that?

19 A. Yes, I do.

20 Q. You said you can't answer it yes or no?

21 A. Right.

22 Q. Can you explain why not or what you meant by that?

23 A. Well, the medical examiner can look at the organs.  
24 When he looks at the lungs, he sees there's pneumonia. When the  
25 medical examiner looks at the kidneys, he sees there's damage to

1 the kidneys from diabetes. When he looks at the heart, he sees  
2 that the muscle is thickened. He can only look at what the  
3 organs show, both on looking at them with his eyes and on  
4 looking at them by taking pieces of tissue and looking under the  
5 microscope. The medical examiner is looking at the patient  
6 after he passed.

7 The clinical doctors, such as myself, can put that  
8 information together as a full picture of what was the oxygen  
9 level, what were vital signs, what was the examination. And  
10 putting all that information together, the patient was not  
11 drowning from pneumonia. Not labored breathing. It wouldn't  
12 have changed so suddenly.

13 He had kidney failure. He had pneumonia. And he  
14 likely had a sudden cardiac arrest. That's the most clinical,  
15 appropriate, likely explanation, given everything that we know,  
16 both from the autopsy and from his course in the hospital.

17 Yes, he did have pneumonia, but that was a component  
18 that lead to the cardiac arrest.

19 Q. Now, Doctor, when asked whether or not there was any  
20 evidence of a heart attack on the autopsy report, and you said  
21 no.

22 Is there a difference between what lay people use in  
23 terms of heart attack and what you as medical doctors call a  
24 heart attack and lethal arrhythmia?

25 A. Yes.

1 Q. What's the difference?

2 A. So the classic heart attack is when you have hardening  
3 of the arteries that feed oxygen to the heart muscles. When one  
4 of the arteries get blocked, part of the muscle of the heart  
5 dies. The muscle can't pump because it doesn't get oxygen.  
6 That's the classic heart attack.

7 That's different than what we're talking about here.  
8 Here, we're talking about abnormality of the electrical impulse  
9 that goes from the top of the heart down to the bottom to make  
10 the muscle react.

11 Q. As a natural pacemaker?

12 A. Right. The electrical signal is the pacemaker that  
13 makes the heart beat. It's not a heart attack of a block. It's  
14 erratic electrical signal in the setting of pneumonia, renal  
15 failure, diabetes; all these other conditions.

16 Q. Now, Doctor, would the autopsy show any evidence of a  
17 stopping of the heart from an electrical abnormal lethal rhythm?

18 A. No. That's not something that the medical examiner can  
19 see any evidence of. It's like there's a lightning bolt. It's  
20 an electrical phenomenon, then it's gone. There's no evidence  
21 left of that afterwards.

22 Q. Doctor, as a general proposition, is every patient that  
23 has an acute event, even witnessed in the hospital, survivable?

24 MR. ARGINTAR: Objection.

25 THE COURT: Sustained.

1 Q. Well, Doctor, is there a certain percentage of patients  
2 who suffer from pneumonia that even if they get all the  
3 treatment in accordance with good practice, that they don't  
4 survive?

5 MR. ARGINTAR: Objection.

6 THE COURT: Sustained.

7 MR. SHAUB: I have nothing further, Judge. Thank  
8 you.

9 MR. ARGINTAR: May I?

10 THE COURT: Yes, please.

11 RE-CROSS EXAMINATION BY

12 MR. ARGINTAR:

13 Q. Doctor, I think you just mentioned that it's your  
14 opinion that Wilbur Rodriguez had an erratic electrical signal;  
15 is that correct?

16 A. You can say it that way, yes.

17 Q. Did any of the EKGs that Wilbur Rodriguez had while he  
18 was in the hospital show that he had arrhythmia?

19 A. He did have what's called a right bundle branch block.

20 THE COURT: A what?

21 THE WITNESS: He did have a right bundle branch  
22 block, which is an abnormality of the electrical signal  
23 passing from the top of the heart down to the chambers on  
24 the bottom. So there was a blockage of that electrical  
25 signal to part of the main pumping chambers of the heart.

1 Q. Would you agree he was never noted to have an  
2 arrhythmia after review of the EKGs in the hospital chart?

3 A. No. He had no ventricular fibrillation. No  
4 tachycardia.

5 Q. Would you agree, no arrhythmia was noted in the EKGs?

6 A. Okay.

7 THE COURT: That's correct? Okay?

8 THE WITNESS: Yes. There was no other  
9 arrhythmia beyond the bundle branch block.

10 Q. Despite the fact that there was no arrhythmia in the  
11 EKGs that were performed on Wilbur Rodriguez while he was in the  
12 hospital, it's still your opinion that it was an arrhythmia that  
13 caused his death; correct?

14 A. Correct.

15 Q. Now, you also mentioned that Wilbur Rodriguez did not  
16 have drowning in the lungs. Do you remember saying that?

17 A. Yes.

18 Q. What hasn't been mentioned yet, Doctor, is are you  
19 aware that on the autopsy report that his right lung weighs  
20 660 -- 1660 grams? Are you aware of that?

21 A. Yes.

22 Q. Are you aware that is much larger, much heavier than a  
23 normal lung? Do you agree with that?

24 A. Yes. Average right lung would be 600 grams. He  
25 definitely has pneumonia in there.

1 Q. Would you agree that it weighs so much more than a  
2 normal lung because it's filled with fluid? Do you agree with  
3 that?

4 A. He has pneumonia, yes. That's expected.

5 Q. Yes or no, his lung is filled with fluid; yes?  
6 Correct?

7 A. To some degree, yes.

8 Q. Would you agree with me that he likely has -- he was  
9 dying because he experienced a sensation of fluid buildup in his  
10 lungs?

11 MR. SHAUB: Objection, Your Honor. Beyond the  
12 scope of the redirect, Your Honor.

13 THE COURT: Sustained.

14 Q. Doctor, do you agree that the fact that his lung is  
15 more than twice the size of a normal lung, indicates yes or no,  
16 that he died from pneumonia?

17 A. You said size. I don't think that's what you meant to  
18 say.

19 Q. Weight?

20 A. Normally, the lung is air, so it weighs nothing, and  
21 this weighed much more than that.

22 Q. It's indicative of the time that he died from  
23 pneumonia?

24 A. It's consistent with pneumonia.

25 Q. Doctor, you mentioned that you do teaching of

1 residents; correct?

2 A. Correct.

3 Q. Because they're residents in training, as a more  
4 experienced doctor, you like to consult with them about their  
5 findings? Would that be fair to say?

6 A. Yes.

7 Q. Are you aware that the first and second year resident  
8 who saw Wilbur Rodriguez on Northwest 8, never called the  
9 attending Dr. Green? Are you aware of that?

10 MR. SHAUB: Objection, Your Honor. Beyond the  
11 scope of direct, cross and redirect.

12 MR. ARGINTAR: It's not.

13 THE COURT: Sustained.

14 Q. On the 11 p.m. nursing note by Nurse Shajimon, does it  
15 say anywhere in the note that the Wilbur Rodriguez is on a  
16 venting mask?

17 A. No.

18 Q. Does it say he's on a venting mask at 12:30 a.m.?

19 A. Yes.

20 MR. ARGINTAR: No further questions.

21 MR. SHAUB: Just one.

22 THE COURT: You said one question.

23 REDIRECT EXAMINATION BY

24 MR. SHAUB:

25 Q. Doctor, looking at Nurse Shajimon's note, do you know

1 what she wrote after oxygen? All the little words after that?

2 A. Yes. Looks like three liters per minute.

3 MR. ARGINTAR: That's one question.

4 MR. SHAUB: All right. That's one question.

5 You're right. I'm a man of my word. I have no further  
6 questions.

7 MR. ARGINTAR: I have nothing else, Your Honor.

8 MR. SHAUB: Defense rests, Your Honor.

9 THE COURT: Wait, wait. Slow down.

10 Thank you, Doctor. You may step down.

11 THE WITNESS: Thank you.

12 (Whereupon, the witness was excused.)

13 THE COURT: We have procedures.

14 MR. SHAUB: Sorry, Judge.

15 THE COURT: Do you have any other evidence or  
16 witnesses you'd like to present?

17 MR. SHAUB: No, I don't, Your Honor.

18 THE COURT: Therefore?

19 MR. SHAUB: Defense rests, Your Honor.

20 THE COURT: Ladies and gentlemen of the jury --  
21 oh, you reserve applications until after I excuse the jury?

22 MR. SHAUB: Yes.

23 THE COURT: Ladies and gentlemen of the jury,  
24 that's all the evidence you're going to hear in the case.

25 As we discussed yesterday as far as scheduling, we